



HIGHLIGHTS 2009

75
YEARS

**SOUTH AFRICAN INSTITUTE
OF INTERNATIONAL AFFAIRS**

AFRICAN PERSPECTIVES. GLOBAL INSIGHTS

OUR VISION

To be the pre-eminent think-tank on Africa and on global issues as they affect Africa.

OUR MISSION

To provide cutting-edge analysis and promote balanced dialogue on issues crucial to Africa's advancement and its engagement in a dynamic global context.

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2009

MESSAGE FROM THE NATIONAL CHAIRMAN, FRED PHASWANA, AND THE NATIONAL DIRECTOR, ELIZABETH SIDIROPOULOS

A platinum jubilee is not only cause for celebration,
but also for reflection on the last 75 years, and
an opportunity to consider the next 75

When SAIIA was founded in 1934, one of its prime objectives was to establish a forum for debate among citizens on matters of international affairs, so that the errors, back-room alliances, and elite war-mongering, which had led to the eruption of the Great War, twenty years before, might be avoided in the future.

SAIIA's founders recognised that citizens had a stake in the international affairs of state. And therefore, institutes such as SAIIA, established by private citizens, had a responsibility to stimulate informed public debate, providing a platform for the airing of all opinions so that government actions were the result of carefully considered arguments. This ethos continues to guide SAIIA's actions 75 years after it was established.

In the 1930s, the discipline of international affairs was primarily about the relationship among states. Today, the discipline is increasingly about the nexus between the state and other stakeholders and concerns development, security, and governance; and how these dynamics play out both within this new more complex global environment, and within the traditional state-centric configurations. International affairs think tanks are thus much more interdisciplinary today and their constituencies are far more diverse.

Think tanks all over the world, if they are truly effective, should be able not only to make the case for what is the obvious but also for what may not be. Challenging the conventional requires integrity but also courage, creativity and innovation. We need to have both great minds and be great communicators; be able to make technical or very academic analysis accessible; and have

the skill to convince often suspicious or sceptical stakeholders about how our research helps policy makers to arrive at better decisions, and ultimately better lives for all.

Think tanks have to be at the cutting-edge of the world's biggest challenges – war and insecurity, poverty and marginalisation, and to provide answers and options on how best to fight these through collaboration **across borders** and without regard to narrow **self-interest**.

We would argue that this responsibility is even greater for think tanks in Africa because the stakes on rolling back war and reducing poverty are so much higher. It was a great honour for SAIIA to be ranked the top think tank in sub-Saharan Africa in 2009 in the annual think tank survey conducted by the University of Pennsylvania. We are delighted at receiving this recognition from peers, but equally heartened by the diversity and quality of African think tanks, and especially South African ones, which are making meaningful input into policy across the continent and were rated in the survey.

The last two decades have witnessed great progress in Africa, and our own home, South Africa. But the continent is still marginalised internationally and seems relegated to this position in the face of the rise of the East. In his fascinating book on *The New Asian Hemisphere: The Irresistible Shift of Global Power to the East*, Kishore Mahbubani makes little mention of Africa. In another book by an American analyst, *The Next 100 Years*, Africa is rarely mentioned as a mover and a shaker of the 21st century.

At the beginning of the second decade of the 21st century, African policy makers and thinkers alike are grappling with the imperative of altering Africa's trajectory in the shifting global system. As a bridge builder between North and South, and as an African state invited to participate at the global table of debates on our common future, South Africa's role has to be that of innovator and 'big idea' generator. For SAIIA thus, as a South African think tank, our work must be to broaden the field of ideas about how best Africa and the world can tackle our global challenges.

The question we must ask is whether we, leaders and citizens alike, are willing and able to carve out a space for ourselves by encouraging our society to think, to challenge, to serve.

From left: SAIIA National Chairman, Fred Phaswana, SAIIA National Director, Elizabeth Sidiropoulos





PROFILE OF THE ORGANISATION

The South African Institute of International Affairs has a long and proud record as South Africa's premier research institute on international issues

SAIIA is an independent, non-government think-tank whose purpose is to encourage wider and more informed awareness of the importance of international affairs. It is both a centre for research excellence and a home for stimulating public debate.

The major research projects within the Institute produce a range of outputs with policy relevance and impact in South Africa and beyond. Our research is disseminated to a wide audience, our workshops and conferences draw a cross-constituency audience and our media engagement is international in scope.

We achieve this by:

- Making constructive policy input
- Stimulating informed public debate

- Building leadership and research excellence in Africa
- The Institute's activities include:
- Making input into policy by engaging with government officials locally and internationally on various aspects of research
 - Undertaking research on current issues of importance to Africa and South Africa in the realm of international relations
 - Developing linkages and joint programmes with international organisations and agencies throughout the world
 - Maintaining a resource centre and reference library (that is a United Nations depository library and a World Bank Development Information Centre)

SAIIA National Council



- Organising events on a wide range of topics addressed by prominent South Africans and distinguished international guests
- Encouraging an interest in international relations among the youth through its leadership programme for postgraduate interns and its various outreach programmes for university students and high school learners

The Institute was founded in Cape Town in 1934 and has been located since 1960 in Jan Smuts House in central Johannesburg. Offices were established in Cape Town in 2008 and in Pretoria in 2009 to allow SAIIA to engage more closely with key stakeholders in those cities.

SAIIA's project funding derives from grants from international agencies, multilateral organisations and private foundations while some core institutional funding comes from local corporate, diplomatic and institutional members.

It is governed by an independent Council whose members

represent the Institute's branches around South Africa as well as senior figures in business and civil society.

SAIIA has always been a strong membership-based organisation. The regional branches provide grassroots support for SAIIA's objectives and are managed by volunteers. This allows individual members who range from students to retired people to network with corporate and diplomatic members, government representatives and the media, and to attend addresses by distinguished South African and international figures. The branches work with their local universities and attempt to include academic staff and students in all branch activities.

SAIIA has branches in East London, Pietermaritzburg and the Western Cape. During 2009 apart from the highly successful high school quiz and debating programmes in Johannesburg, Cape Town and Pietermaritzburg, the branches across the country hosted a range of speakers' meetings.



series of events to profile the work being undertaken by the Institute. These are covered in full in the separate section on the anniversary.

Establishing New Research Projects

The South African Foreign Policy and African Drivers Project (SAFPAD) was formally established during the year with the appointment of its first head, Dr Nomfundo Ngwenya.

The second programme, Great Powers and Africa also took on its new structure when Dr Mzukisi Qobo took on the headship. The existing China in Africa and EU research areas along with the new India in Africa and Brazil in Africa projects, now reside under this programme.

Strengthening Partnerships with International Research Institutes, especially in Africa

SAIIA has developed a substantive relationship with the Centre for International Governance Innovation in Canada regarding the Africa e-portal project that will provide a platform for research produced in and on Africa. The other partner in the e-portal is Makerere University in Uganda.

It has deepened collaboration with a number of African sister think tanks. These include the Transformation Resource Centre (Lesotho); the Centre for Democratic Development-Ghana (Ghana); the Economic and Social Research Foundation (Tanzania) and the Botswana Institute for Development Policy Analysis (BIDPA).

SAIIA's other Southern partners include The Brazilian Centre for International Relations (CEBRI); Instituto Universitário de Pesquisas do Rio de Janeiro (IUPERJ); the Institute for Defence Studies and Analyses (India); and the Chinese Academy of Social Sciences.

Other Northern partners of SAIIA include the European Centre for Development Policy Management, with which we cooperate on the South-North university network; the German Development Institute and its Managing Global Governance School; and Chatham House.

KEY OBJECTIVES 2009

Raising Funds for the 75th Anniversary Projects

The capital campaign launched in April 2008 continued in earnest during the year under the guidance of the Steering Committee. An amount of R 5.4 million was raised towards the four projects identified by the National Council. The Jan Smuts House refurbishments were completed and officially opened during the annual Council meeting in March by Elisabeth Bradley, retiring Deputy National Chairman and major donor to the project. A generous grant from the Oppenheimer Memorial Trust will be funding the first three years of the Distinguished African Visiting Fellowship. Funding was also secured to appoint a part-time Youth Development Officer and to digitalise the SAIIA book and publication holdings in the Library.

Profiling the Institute Through the 75th Anniversary Calendar of Events

Few think tanks are able to boast a history of three quarters of a century, so SAIIA used this opportunity to organise a

OUTPUTS

During 2009, SAIIA produced almost **fifty** in-house publications, including **nine** Policy Briefings, **thirty-six** Occasional Papers, and **four** Research Reports. **Five** books were also commercially published as was Volume **sixteen** of the peer-reviewed South African Journal of International Affairs. It also hosted **fifty-seven** events ranging from multiple day conferences to two hour meetings. This included **eleven** Speakers' Meetings; **twenty-three** one-day workshops; **ten** two-day workshops and **thirteen** events on youth development, book launches and anniversary celebrations altogether. During this time **four hundred and forty-eight** media requests were logged by the media liaison office and its researchers were mentioned **one thousand seven hundred and forty two** times in publications around the world. Its researchers produced **twenty eight** Diplomatic Pouch pieces and in excess of **forty-five** opinion pieces.



75th Anniversary

A series of celebratory events to include all SAIIA constituencies was held across South Africa and in London to mark this milestone anniversary

From left: Ambassador Abdul Minty; Winners of the Environmental Sustainability Schools Project, Parktown Girls High School with former UN Environmental Programme representative, Esterine Fotabong



A special objective of the year's programme was to include young South Africans in as many of the activities as possible in addition to those events targeted specifically for them.

FEBRUARY

Cocktail party for Pietermaritzburg Branch Committee: 24 February

MARCH

SAIIA First Rand Foundation Environmental Sustainability

Schools Project: 7 March

National Council meeting and official opening of refurbishments to Jan Smuts House: 17 March

MAY

Cocktail party for Western Cape Branch Committee and SAIIA Cape Town Office staff: 11 May

Dinner for Western Cape dignitaries: 12 May

The guest speaker at this function was the Chairman of Eskom, Bobby Godsell.

SAIIA Chevron Schools Quiz 15th Anniversary: 13 May

Cocktail party for SAIIA Johannesburg and Pretoria staff: 14 May

London Conference: *South Africa in the world: A post-transition foreign policy*: 22 May 2009

The opening was performed by Ambassador Abdul Minty, representing the Minister of International Relations and Cooperation.

SAIIA Global Classrooms Model UN Schools Programme: 30 May

AUGUST

SAIIA Konrad Adenauer Foundation Postgraduate Careers Evening: 25 August.

This event attracted a capacity audience to hear a range of distinguished speakers including Constitutional Court Judge Kate O'Regan and award-winning journalist, Debora Patta.

SAIIA/Natal Witness/Varsity College Schools Quiz: 29 August

SEPTEMBER

75th Anniversary Gala Banquet: 16 September

This event was attended by 180 dignitaries including 21 Ambassadors and High Commissioners, the Governor of the SA Reserve Bank and leading businesspeople.



Clockwise from left: SAIIA National Director, Elizabeth Sidropoulos and Minister in the Presidency Trevor Manuel; Former Eskom Chairman, Bobby Godsell; SAIIA National Chairman, Fred Phaswana, Former President of Mali and Chairman of the AU, HE Alpha Oumar Konare, SAIIA National Director, Elizabeth Sidropoulos and Deputy Minister of International Relations and Cooperation Ebrahim Ebrahim

Major international conference: *Africa in a new world: geopolitics, interdependence and leverage*: 17 - 18 September

The conference was opened by Deputy Minister of International Relations and Cooperation, Ebrahim Ebrahim, and the former President of Mali and Chairman of the African Union, HE Alpha Oumar Konare. The second day's proceedings began with an address by the Minister in the Presidency and former Minister of Finance, Trevor Manuel.

The 200 delegates at the conference included representatives of SAIIA's partner institutions from Canada, USA, UK, Netherlands, Germany, Russia, Sweden, Australia, New Zealand and India as well as from Mozambique, Botswana, Zimbabwe, Zambia, Lesotho, Nigeria, Tanzania, Ghana, Mali and Kenya.

NOVEMBER

Dinner for East London Branch Committee: 3 November

TRAVEL



COLLABORATION WITH OTHER ORGANISATIONS

ANGOLA

Centro de Estudos e Investigação Científica de Angolano
Catholic University of Angola

BELGIUM

European Centre for International Political Economy
Konrad Adenauer Foundation

BOTSWANA

Botswana Innovation Hub
Botswana Institute for Development Policy Analysis

BRAZIL

The Brazilian Centre for International Relations (CEBRI)
Instituto Universitário de Pesquisas do Rio de Janeiro (IUPERJ)

CANADA

Centre for International Governance Innovation
International Institute for Sustainable Development

CHINA

Chinese Academy of Social Sciences
Shanghai Institutes for International Studies

DEMOCRATIC REPUBLIC OF CONGO

International Union for Nature Conservation (IUCN)

ETHIOPIA

African Union Commission
UN Economic Commission for Africa (UNECA)

FRANCE

European Union Institute for Security Studies
Organisation for Economic
Cooperation and Development (OECD)

GERMANY

Bertelsmann Foundation
German Development Institute
Internationale Weiterbildung und Entwicklung
gGmbH (InWent)
Konrad Adenauer Foundation

GHANA

Center for Democratic Development (CDD)
National APRM Governing Council

INDIA

Indian Council for Research on International Economic
Relations (ICRIER)
Institute for Defence Studies and Analyses

LESOTHO

Transformation Resources Centre

NAMIBIA

Institute for Public Policy Research (IPPR)

NETHERLANDS

Clingendael
European Centre for Development Policy
Management (ECDPM)



NORWAY

UNDP's Oslo Governance Centre

PORTUGAL

Instituto de Estudos Estratégicos e Internacionais (IEEI)

SENEGAL

African Governance Institute (IAG-AGI)

SIERRA LEONE

National Accountability Group (NAG)

SOUTH AFRICA

Affiliated Network for Social Accountability (ANSA-Africa)
Business Leadership SA
Business Unity SA
Konrad Adenauer Foundation
National Treasury
University of Cape Town; Graduate School of Business
University of Stellenbosch
University of the Witwatersrand

SWEDEN

Lund University
Nordic-Africa Institute

SWITZERLAND

International Centre for Trade and Sustainable Development
International Institute for Sustainable Development (IISD)
WTO Secretariat

TANZANIA

Economic and Social Research Foundation

UNITED KINGDOM

Chatham House
London School of Economics

UNITED STATES

Human Rights Watch
Yale University

ZAMBIA

Zambia Civil Society APRM Secretariat

SAIIA'S RESEARCH AGENDA

As a South African-based institute of international affairs, SAIIA brings a South African and African perspective to global issues. During 2009 we deepened our expertise; expanded our networks into the region and strengthened cooperation with institutions based outside the continent focusing on Africa's international challenges. SAIIA's research covers five cross-cutting thematic areas reflecting the interdisciplinary nature of all our work

GOVERNANCE AND DEMOCRACY

Reflecting the major challenges facing Africa, the Governance and Democracy cluster is SAIIA's biggest, incorporating our work on the African Peer Review Mechanism, resource governance and parliaments.

Research explored key governance and accountability challenges at the national, regional and continental level through the prism of the African Peer Review Process and international governance standards, also as it pertains to the role of communities, the media, political parties, parliaments and governments in the sustainable governance of Africa's resources. Two of SAIIA's major programmes fall under this research cluster – Governance and APRM and Governance of Africa's Resources.

In 2009 SAIIA launched a monthly newsletter called *Governance Perspectives* that is distributed to 4000 people within South Africa, Africa and around the world.

Governance and APRM Programme (GAP)

SAIIA's Governance and African Peer

Review Mechanism programme seeks to stimulate public debate and scholarship about governance, accountability and development. The programme also aims to improve public policymaking and practice on governance by linking governments, citizens and researchers through a variety of publications and training workshops. GAP has worked in over 20 countries to help African CSOs, governments and researchers understand and maximise opportunities offered by the innovative, African-grown APRM.

In 2010, GAP will launch a comparative study on the implementation of the APRM in East Africa; an analysis of best practices in the APRM; and publish on social accountability on the continent. GAP anticipates holding APRM workshops in Djibouti and Namibia and will continue with the popular SAIIA Occasional Papers on topical governance themes.

Governance of Africa's Resources Programme (GARP)

The boom in Africa's extractive industries from 2002 to 2008 has brought new opportunities for growth and development,

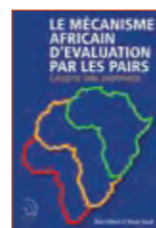
Strategies for Effective Policy Advocacy: Demanding Good Governance in Africa
by George Katito and Faten Aggad



The State of Governance in Africa
by Patrick Chabal



Le Mécanisme africain d'évaluation par les pairs: Leçons des pionniers
by Steven Gruzd



Seeing the Wood for the Trees: Forestry Governance in the DRC
by Mari-Lise du Preez



Events Highlights

- South Africa's Foreign Engagement: Whither Human Rights?
- APRM Submission in Sierra Leone
- APRM Submission in Zambia
- Integrating Governance into University Education
- Extractive Industries Transparency Initiative (EITI)
- Parliamentary Oversight of the Executive in Lesotho

Staff

- Mari-Lise du Preez
- Alison Goldstuck
- Steven Gruzd (Programme Head: GAP)
- Tim Hughes
- George Katito
- Tsoeu Petlane
- Dr Kathryn Sturman (Programme Head: GARP)
- Yarik Turianskyi

Research Associates

- Victoria Ayer
- Ross Herbert

Funders

- The Royal Netherlands Embassy
- The Norwegian Foreign Ministry
- DANIDA
- ANSA-Africa

provided there is sound governance of the continent's natural resources. The volatility of commodities prices threatened this progress during 2009, as the global financial crisis slowed down the oil producing and mining economies. GARP provided in-depth research and policy analysis of the implications of these developments for resource-rich African countries. The programme focused on Tanzania, the Democratic Republic of Congo, Angola, Sudan and Ghana in the oil and gas, mining, forestry and fisheries sectors.

Plans for 2010 are to hold workshops and parliamentary and media briefings to disseminate the policy findings of the programme. GARP's work will be taken to the African Union, SADC and other regional and international policymakers. Primary research on oil and forestry in Uganda is planned for the second half of the year. The programme and its other African partners is compiling a *Handbook of Natural Resource Governance in Africa* which will be published as a unique contribution to the field.

Parliamentary Engagement

Working with our partners in Lesotho the Transformation Resource Centre, we coordinated and delivered the civil society submissions to the Lesotho parliament on the sexual offences, land and marital rights bills. During the course of 2009, the SAIIA-TRC Lesotho Democracy programme ran workshops on strengthening the role and capacity of MPs to conduct oversight of the executive and budget. Our parliamentary work in South Africa includes engaging with portfolio committees in Parliament, and with the Pan-African Parliament on policy issues that intersect with SAIIA's research agenda.



Clockwise from top: Research Fellow, Tim Hughes with Lerato Majota and Mothusi Seqhee of the Transformation Resource Centre; EITI Secretariat Head, Jonas Moberg; Participants in the Integrating Governance into University Education Workshop



SOUTH AFRICAN FOREIGN POLICY AND AFRICAN DRIVERS

South Africa's foreign policy is a cornerstone of SAIIA's work and informs the rest of its research agenda

From Left: Ann Grant, former UK High Commissioner to SA with incoming High Commissioner, Dr Nicola Brewer, speaker at the South Africa in the World Conference, Prof Elte Links



The South African Foreign Policy and African Drivers programme aims to produce research that not only analyses SA foreign policy, but identifies new areas for enhanced bilateral and trilateral cooperation with countries in Africa and beyond. During 2009 the programme looked at South African norm setting in the region, its regional diplomatic and peacekeeping initiatives and foreign policy under the new administration of President Zuma.

SAFPAD liaises regularly with the Department of International Relations

and Cooperation (DIRCO) and other relevant departments. Future areas of research will include workshops in Angola, Kenya, Nigeria and Zimbabwe, exploring what drives their foreign engagement and how South Africa's relations with them should be shaped. The programme will also focus on research collaboration with the Department of Trade and Industry on issues surrounding South African business on the continent. A key priority of the programme is to revisit South Africa's relations with key African countries in the past 15 years and chart a way forward.

Somaliland. An African Struggle for Nationhood and International Recognition
by Iqbal Jhazbhay



South African Yearbook of International Affairs 2008/9
Edited by Ambassador Thomas Wheeler



Africa's Peacemaker? Lessons from South African Conflict Mediation
Edited by Kurt Shillinger



'International Security and African Regional Security: Perspectives from South Africa' by Elizabeth Sidiropoulos in Chailiot Paper No 118, *Global Security in a Multipolar World*



Events Highlights

- South Africa in the World: A Post-Transition Foreign Policy
- Africa in a New World: Geopolitics, Interdependence and Leverage

Experts

- Neuma Grobbelaar (Director of Studies)
- Dr Nomfundo Ngwenya (Programme Head)
- Auriel Niemack
- Elizabeth Sidiropoulos (National Director)

Research Associates

- Ambassador Thomas Wheeler

Funders

- DANIDA
- SIDA
- The British High Commission
- The Finnish Embassy

Clockwise from top: Participants at the Africa in a New World Conference; Former Deputy Minister of Foreign Affairs, Aziz Pahad; Mozambican High Commissioner, Antonio Gumende and Namibian High Commissioner George Mbangwa at the South Africa in the World Conference; MDC Member of Parliament, Eddie Cross; Former President of Mali and Chairman of the AU, HE Alpha Oumar Konare



GREAT POWERS AND AFRICA

This research programme explores the emerging relations between Africa and rising powers such as China, India and Brazil, as well as the more established relationships of the United States and the European Union with Africa

Its purpose is to inform African policymaking towards these powers, as their interest on the continent deepens.

In 2010 the programme will begin to look at the emerging powers' multinational corporations (MNCs) in Africa, focusing on issues related to norms such as sustainability and corporate social responsibility. Specific attention will be given to the forms of government-business relations (between commercial actors and own governments and between commercial actors and host governments) and business-to-business relations (among emerging power MNCs and between them and South Africa's and established powers' MNCs).

The **Brazil in Africa** pilot project aimed to outline the key drivers of Brazil's foreign policy as an emerging power and explore Africa's place in that. As a

relatively unexplored area of work in South Africa, considerable energy was spent identifying potential areas of common interest for Africa and Brazil as well as possible areas of collaboration in researching these interests. Key outcomes included co-ordinating a visit to Brazil with the Development Bank of Southern Africa and The Presidency of the Republic of SA in order to extract lessons in planning for development.

The **China in Africa** project which has been running since 2007 focuses on examining China's growing role in Africa and the opportunities and challenges it poses for African development.

It seeks to inform African policy makers and the wider community of the realities of Chinese engagement with the continent – either bilaterally or in particular sectors. The China-Africa

Africa's Challenges in International Trade and Regional Integration: What Role for Europe?
by Phil Alves, Peter Draper and Nkululeko Khumalo



'Strategic Partnerships': The European Union's Quest for Global Clout
by Shada Islam



Brazil as a regional and Emerging Global Power
by Matias Spektor



Elephants, Ants and Superpowers: Nigeria's Relations with China
by Gregory Mthembu-Salter



Events Highlights

- A new French foreign and security policy: what does it mean for Africa?
- China and Africa – assessing the relationship on the eve of FOCAC IV and presentation of the SAIIA China in Africa toolkit
- Drivers of Brazilian Foreign Policy in the Developing World: The Region, IBSA and Africa (co-hosted with the Brazilian Centre for International Relations in Rio de Janeiro)
- Doing Business for Africa's Development: German, Chinese and African Engagement put to the test

Staff

- Dr Chris Alden (Head: China in Africa)
- Romy Chevallier
- Neuma Grobbelaar (Director of Studies)
- Dr Mzukisi Qobo (Programme Head)
- Elizabeth Sidiropoulos (National Director)
- Captain (Retired) Frank van Rooyen

Research Associates

- Ana Alves
- Anna Chen
- Isaac Idun-Arkhurst
- Guillaume Moumouni
- Dr Lyal White

Funders

- Konrad Adenauer Foundation
- SIDA
- UK Department for International Development
- DANIDA

Clockwise from left: SAIIA Deputy National Chairman, Moeletsi Mphahlele and former Indian High Commissioner, RK Bhattacharya; Swedish Ambassador, Peter Tejler; Delegates from the Doing Business for Africa's Development Workshop; EU Commissioner, Benita Ferrero-Waldner



Toolkit was launched at the African Union in Addis Ababa in September 2009.

Plans for 2010 include a number of workshops across Africa including Zambia, Mozambique and Angola; research and publication of reports into the role of Chinese traders in Senegal, the changing terms of Chinese financial institutions in Africa, and China and agricultural development in Africa; research into China's role in post-conflict environments; and outreach to research communities in China and Africa.

Established in 2005, SAIIA's **EU-Africa** project has explored new issues on the EU-Africa agenda in the context of the Joint Africa-Europe Strategy, including research on climate change. It has collaborated closely with government on the EU-SA academic summit meeting that took place in September and participates

in the Europe-Africa Research Network (EARN).

Research in the **India in Africa** project focuses on India's foreign policy in Africa. It combines security elements with India's partnership in Africa and research that has commenced in a number of fields, including India's peacekeeping and its defence cooperation with Africa; India and other powers in the Indian Ocean and its consequences for the east African littoral and island states; and maritime security dynamics in the Horn of Africa and Gulf of Aden region.

The project began in June 2009 and has planned a number of workshops and conferences aligned to the research for 2010. It is anticipated that our security research will expand to include the involvement of the other BRIC countries on Africa.

ECONOMIC DIPLOMACY

This work builds on our substantial body of work on regional integration in Africa (SACU, SADC, EAC, EPAs); explores the economic diplomacy options open to South Africa and Africa at a country, continental and multilateral level with special attention to trade policy in the WTO; and more recently the implications of the financial crisis for Africa and the role of the G20

In 2009 the research conducted by the Development through Trade programme continued to position itself as a thought leader in the region and internationally. Consequently it continued to receive approaches from high profile organisations for research collaborations and

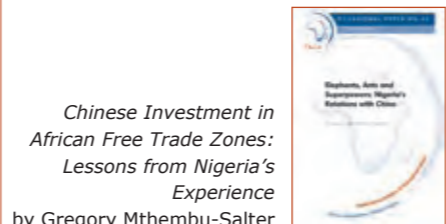
to conduct commissioned work. This list included the World Trade Organisation Secretariat; the World Bank; the OECD; and the SACU Secretariat. The programme head was also invited to join the prestigious World Economic Forum Global Agenda Council on Trade, which



Looking Beyond the Doha Round: Reforming the WTO Negotiating Process
by Nkululeko Khumalo



Economic Partnership Agreements and Intellectual Property Rights protection: Challenges for the Southern African Development Community (SADC) region
by Dorica Phiri



Chinese Investment in African Free Trade Zones: Lessons from Nigeria's Experience
by Gregory Mthembu-Salter



Trade Reform in Southern Africa. Vision 2014?
Edited by Peter Draper and Phil Alves

- Events Highlights**
- WTO Decision-making Beyond the Doha Round: Getting to "Yes"
 - Intellectual Property Rights in the EU-CARIFORUM EPA: Lessons for SADC
 - The Global Economic Crisis and Emerging Protectionism: Are we heading for a new World Order?
 - Trade in SACU Energy Services: Towards A Negotiating Strategy
 - Public Trade Policy Forum: Promoting Dialogue on Trade Reform in South Africa
 - The WTO's November Ministerial Conference: What Prospects for a Doha Deal?

- Staff**
- Tsidiso Disenyana
 - Peter Draper (Programme Head)
 - Sheila Kiratu

- Research Associates**
- Talitha Bertelsman-Scott
 - Gilberto Biacuana
 - Prof. Loretta Ferris
 - Prof. Richard Gibb
 - Nkululeko Khumalo
 - Ivan Mbrimi
 - Gregory Mthembu Salter
 - Dr Razeen Sally
 - Dr Mills Soko
 - Prof. Riekie Wandrag

- Funders**
- AusAID
 - SIDA
 - OECD
 - IISD
 - InWent
 - Foreign and Commonwealth Office, UK

Clockwise from left: Botswana Minister of Trade and Industry, Daniel Neo Moroka; Co-Director of the European Centre for International Political Economy, Dr Razeen Sally; Programme Head, Peter Draper with South African Minister of Trade and Industry, Rob Davies; SAIIA National Director, Elizabeth Sidropoulos and SAIIA Researcher, Nkululeko Khumalo



met in Dubai to consider the future of the world trading system.

SAIIA runs the Southern African Trade Knowledge Network with a partner, the Geneva-based IISD. It also launched a quarterly newsletter, Trade Perspectives, which is disseminated to 2185 key stakeholders in South Africa, Africa and beyond.

Future areas to be covered by the programme would include Southern

African economic integration options and in particular, issues related to SA's trade, industrial and exchange rate policies; the political economy of economic relations between anchor states; the future of SACU and SADC; EPAs and trade relations with the EU; trade facilitation and intellectual property rights, investment agreements and services. On the issue of global governance, a series of roundtables focusing on the WTO and the future of the multilateral trading system is being planned.

GLOBAL GOVERNANCE AND SUSTAINABLE DEVELOPMENT

This last cluster of work explored key global governance reform questions and challenges, such as Climate Change, the Global Financial Crisis, UN reform, IFIs reform, and the role of informal groupings in these debates such as the G20 Finance, G8-G5 Outreach Initiative, BRICs, BRICSAM and IBSA

Research conducted in this cluster in 2009 focused on the political economy of climate change, developing country cooperation on climate change (through IBSA and China), and South Africa's policies and negotiating stance on climate change and the G20. On the crucial issue of climate change adaptation, research outputs interrogated both the need to mainstream climate adaptation into development aid as well as providing policy advice to African institutions on how to react to the potential negative impact of climate change.

Through the various outputs produced, SAIIA expanded its network of international and national research institutions to include, among others, the German Development Institute, the

Shanghai Institutes for International Studies, the Indian Council for Research on International Economic Relations, the Centre for International Governance Innovation and the Instituto Universitário de Pesquisas do Rio de Janeiro (IUPERJ) in Brazil.

During 2010, work will continue on the politics of climate change and will move towards a focus on climate and energy dynamics for South Africa and the region as well as an expansion on the work relating to climate change and trade. With regard to the informal groupings and economic governance aspect of this research cluster, research will focus on the G20 Finance Forum and the G20 Summit with particular regard to South Africa and Africa as well as looking at South Africa and the OECD.

The Road to Copenhagen: Climate Change, Energy and South Africa's Foreign Policy
by Lesley Masters



South Africa and the London G20 Leaders Summit: A Briefing
by Peter Draper



Biofuel Technology Transfer in IBSA: Lessons for South Africa and Brazil
by Lyal White and Tatiana Cyro Costa



'Global Power Shifts and South Africa's Southern Agenda' by Elizabeth Sidiropoulos in *Power Shifts and Global Governance*
Edited by Ashwani Kumar and Dirk Messner



Events Highlights

- The Financial Crisis and G20 Summitry: Decoding (South) African Positions
- Italy's Presidency of the G8 and the Summit in L'Aquila
- Climate Change and Trade: Challenges for Southern Africa
- 'The Day After' Copenhagen – A Long- Term Perspective on Climate Change & Development Policy (co-hosted with the Konrad Adenauer Foundation in Brussels)

Staff

- Romy Chevallier
- Peter Draper (Programme Head)
- Dr Mzukisi Qobo (Programme Head)
- Elizabeth Sidiropoulos (National Director)

Research Associates

- Dr Marco Vieira
- Dr Uttam Sinha

Funders

- Konrad Adenauer Foundation
- Canadian High Commission
- DANIDA
- SIDA

Clockwise from top: World Bank Country Director, Ruth Kagja; SAIIA National Director, Elizabeth Sidiropoulos and World Bank Chief Economist for Africa, Shantayanan Devarajan; Italian Ambassador, Elio Menzignore; Head of the International Atomic Energy Agency, Mohammed El-Baradei; SAIIA National Director, Elizabeth Sidiropoulos and Ambassador Abdul Minhy



FINANCIAL STATEMENTS

BALANCE SHEET at 30 June 2009

Assets	2009 R	2008 R
Non-current assets		
Equipment	533 995	496 240
Current assets	20 858 253	22 352 521
The Smuts Memorial Trust	3 635 387	3 953 773
Investments held for trading	7 716 225	8 875 517
Trade and other receivables	981 522	3 372 818
Bank and cash balances	8 525 119	6 150 413
Total assets	21 392 248	22 848 761

Funds and liabilities

Accumulated funds	10 140 221	10 433 195
Accumulated surplus	6 707 170	5 842 389
Investment reserve	3 433 051	4 590 806
Current liabilities	11 252 027	12 415 566
Trade and other payables	5 188 459	5 718 382
Project funds	6 063 568	6 697 184
Total funds and liabilities	21 392 248	22 848 761

INCOME STATEMENT for the year ended 30 June 2009

	2009 R	2008 R
Revenue	28 414 714	24 387 148
Expenditure	28 563 439	24 789 148
Operation expenditure	9 752 368	9 007 593
Programme expenditure	18 811 071	15 782 172
Deficit before investment income	(148 725)	(402 617)
(Loss)/income from investments	(144 249)	453 633
Net (loss)/income for the year	(292 974)	51 016

Note: The financial statements show a deficit for the period of R292 974. This deficit includes a market value adjustment on the investment portfolio of R1 446 489, compared to a write down of R382 527 in the previous year. There would have been a surplus of R1 153 515 (R433 543 - 2008), before this write down.

FUNDING

SAIIA raises funds from governments, international foundations, companies and individual donors. Our work is currently being funded by, among others:

- AusAid
- The Bradlow Foundation
- The UK Department for International Development
- The Department of Environmental Affairs and Tourism of South Africa
- Deutsche Gesellschaft für Technische Zusammenarbeit
- The Finnish Ministry for Foreign Affairs
- The Ford Foundation
- The International Institute for Sustainable Development
- Internationale Weiterbildung und Entwicklung gGmbH
- The Konrad Adenauer Foundation
- The Organisation for Economic Cooperation and Development
- The Royal Norwegian Ministry of Foreign Affairs
- The Royal Danish Ministry of Foreign Affairs
- The Royal Netherlands Ministry of Foreign Affairs
- The Swedish International Development Cooperation Agency
- The United Nations Conference on Trade and Development

OFFICE BEARERS

National Council

Chairman: Fred Phaswana

Deputy Chairpersons: Elisabeth Bradley
(until March 2009)
Moeletsi Mbeki

Honorary Treasurer: John Buchanan

Honorary Legal Adviser: Rob Legh

National Director: Elizabeth Sidiropoulos

Members: Kuseni Dlamini
Professor Eltie Links
Raisaka Masebelanga
Stuart Meyer
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Jonathan Oppenheimer
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Branches (chairpersons):

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Johannesburg Leon Kok
Pietermaritzburg Peter Warmington
Western Cape Dr Martha Bridgman

MEMBERSHIP

Membership of SAIIA falls into two categories: corporate, diplomatic and institutional members who are serviced by head office at Jan Smuts House and individual and student members who are part of the regional branch structures except for those in the Johannesburg region whose membership falls under Jan Smuts House.

Corporate membership packages are available at different levels to meet the specific requirements of the company. The core package includes invitations to all SAIIA events, free electronic briefings and reports, one free hard copy of all SAIIA publications, and use of SAIIA Library. Silver, gold and platinum membership levels entitle the company to private briefings and written executive reports on issues determined and requested by the company.

For more information on membership options please contact Jonathan Stead, Director of Marketing and Operations, at 011 339 2021 or Jonathan.Stead@wits.ac.za

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